

# HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under  
37 C.F.R. 1.53(b))

Attorney Docket No.

0975.1005-013

First Named Inventor or  
Application Identifier

Junming Le

Express Mail Label No.

EL 762340697 US

Title of  
Invention

ANTI-TNF ANTIBODIES AND PEPTIDES OF HUMAN TUMOR NECROSIS FACTOR

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification **Total Pages 1-159**  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to microfiche Appendix
  - Background of the Invention
  - Summary of the Invention
  - Brief Description of the Drawings
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) **Total Sheets 1-37**  
☒ Formal ☐ Informal  
☒ Fig. of the Drawings for Publication ☐ None
4. ☒ Oath or Declaration/POA **Total Pages 1-16**
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 C.F.R. 1.63(d))  
(for continuation/divisional with Box 18 completed)  
**[NOTE Box 5 below]**
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in the prior  
application, see 37 C.F.R. 1.63(d)(2)  
and 1.33(b).
5. ☒ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 4b, is  
considered as being part of the disclosure of the accompanying  
application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. ☒ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☒ Computer Readable Copy
  - b. ☒ Paper Copy (identical to computer copy)  
**1-7 Pages**
  - c. ☒ Statement verifying identity of above copies

### ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & documents)  
☒ Assignee - New York University Medical Center and  
 Centocor, Inc.  
 New York, NY and Malvern, PA; respectively
9. ☐ 37 C.F.R. 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application,  
status still proper and desired
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request (check parent application)
17. ☒ Other: Remarks Letter

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/756,398  
 Prior application information: Examiner: Not Assigned Group Art Unit: 1642

### 19. CORRESPONDENCE ADDRESS

NAME	Carolyn S. Elmore				
	HAMILTON, BROOK, SMITH & REYNOLDS, P.C.				
ADDRESS	Two Militia Drive				
CITY	Lexington	STATE	MA	ZIP CODE	02421-4799
COUNTRY	USA	TELEPHONE	(781) 861-6240	FAX	(781) 861-9540

Signature	Deirdre E. Sanders	Date	August 10, 2001
Submitted by Typed or Printed Name	Deirdre E. Sanders	Reg. Number	42,122

**SECRET**

\\ODMA\MHODMA\iManage;236623;1 Rev, May 10, 2000

Date: <u>8/10/01</u>
EXPRESS MAIL LABEL NO. <u>EL 762340697 US</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Junming Le, Jan Vilcek, Peter Daddona, John Ghrayeb, David Knight  
and Scott Siegel

Title: ANTI-TNF ANTIBODIES AND PEPTIDES OF HUMAN TUMOR  
NECROSIS FACTOR

TRANSMITTAL OF SEQUENCE LISTING IN COMPUTER READABLE FORM  
IN COMPLIANCE WITH 37 C.F.R. §§1.821(e) AND (f)

Box Sequence  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith is a copy of the "Sequence Listing" in computer readable  
form as required by 37 C.F.R. §1.821(e). As required by 37 C.F.R. §1.821(f),  
Applicant's Attorney hereby states that the content of the "Sequence Listing" in paper  
form and of the computer readable form of the "Sequence Listing" are the same.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Deirdre E. Sanders  
Deirdre E. Sanders  
Registration No. 42,122  
Telephone (781) 861-6240  
Facsimile (781) 861-9540

Lexington, Massachusetts 02421-4799

Date: August 10, 2001

20010810 09:23:00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Junming Le, Jan Vilcek, Peter Daddona, John Ghrayeb, David Knight and  
Scott Siegel

Continuation Application of

Application No.: 09/756,398  
Filed: January 8, 2001

For: ANTI-TNF ANTIBODIES AND PEPTIDES OF HUMAN  
TUMOR NECROSIS FACTOR

Date: 8/10/01

EXPRESS MAIL LABEL NO. EL 762340697 US

REMARKS

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The above-captioned application is a continuation of application number 09/756,398 filed on January 8, 2001 to which priority is claimed under 35 U.S.C. §120.

The related applications paragraph has been revised to include the parent application.

2001-08-10 10:00:00

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